



04-23-01

Receipt
8/2/01
P. 1/1
#5PATENT
Attorney Docket No. 5603.02
Express Mail Label No. EL 809 715 806 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

JERRY A. DUKES, et al.

Examiner: Felicia Robinson

Serial No. 09/765,924

Art Unit: 3627

Filed: January 20, 2001

RECEIVED

For: CORRUGATED PIPE CONNECTION JOINT

AUG 01 2001

REQUEST TO CORRECT FILING RECEIPT

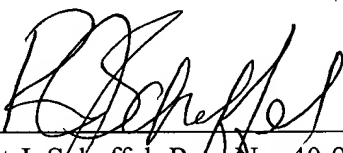
73600 MAIL ROOM

Office of Initial Patent Examination
Customer Service Center
Commissioner of Patents
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt received in the above-identified case. There is an error in the spelling of applicant, Rodney Stude's name. Please change the name from "Rodeny" to "Rodney". Please issue a corrected filing receipt in this case.

Although no fee is believed to be required for this correction, any required fee may be charged to Deposit Account No. 04-1415.

April 20, 2001
Robert J. Scheffel, Reg. No. 40,090
Attorney for Assignee
DORSEY & WHITNEY LLP

USPTO Customer No. 20686



PATENT
Attorney Docket No. 5603.02
Express Mail Label No. EL 809 715 806 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

JERRY A. DUKES, et al.

Serial No. 09/765,924

Filed: January 20, 2001

For: CORRUGATED PIPE CONNECTION JOINT

Examiner: Felicia Robinson

Art Unit: 3627

CERTIFICATE OF MAILING BY EXPRESS MAIL

Office of Initial Examination
Customer Service Center
Commissioner for Patents
Washington, D.C. 20231

RECEIVED

AUG 01 2001

3600 MAIL ROOM

Sir:

The undersigned hereby certifies that the following documents:

1. Request for Corrected Filing Receipt;
2. Copy of Official Filing Receipt;
2. Certificate of Mailing by Express Mail; and
3. Return Card,

relating to the above application, were deposited as "Express Mail," Mailing Label No. EL 809 715 806 US with the United States Postal Service, addressed to Office of Initial Examination, Customer Service Center, Commissioner of Patents, Washington D.C. 20231, on this 20 day of April, 2001

April 20, 2001

Mailer

April 20, 2001

Robert J. Scheffe, Reg. No. 40,090
Attorney for Applicants
DORSEY & WHITNEY LLP
USPTO Customer No. 20686



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/765,924	01/20/2001	3627	710	5603.02	8	19	3

CONFIRMATION NO. 8271

20686

DORSEY & WHITNEY, LLP
SUITE 4700
370 SEVENTEENTH STREET
DENVER, CO 80202-5647

FILING RECEIPT



OC00000005808769

Date Mailed: 02/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jerry A. Dukes, Aurora, CO;
Thomas E. Ogden, Columbia, MO;
Rodney Stude, Englewood, CO;

Rodney →

RECEIVED

AUG 01 2001

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/177,162 01/20/2000

3600 MAIL ROOM

Foreign Applications

If Required, Foreign Filing License Granted 02/27/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Mail Ledger	3 5603.02
Docketed	3/6/01 JL
Due Date	

Title

Corrugated pipe connection joint

Preliminary Class

RECEIVED

MAR 06 2001

Dorsey & Whitney LLP

285

Data entry by : ROBINSON, FELICIA

Team : OIPE

Date: 02/28/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Cod of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

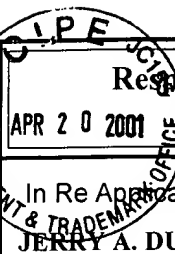
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



Response To Notice To File Missing Parts Of Application

APR 20 2001 Filing Date Granted (PTO-1533)(Large Entity)

Docket No.

5603.02

In Re Application Of:

JERRY A. DUKES, et al.

Serial No.

09/765,924

Filing Date

January 20, 2001

Examiner

Felicia Robinson

Group Art Unit

3627

Invention:

CORRUGATED PIPE CONNECTION JOINT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

February 28, 2001

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
5603.02

APR 20 2001
Inventor's Application
JERRY A. DUKOFF, et al.

Serial No.
09/765,924

Filing Date
January 20, 2001

Examiner
Felicia Robinson

Group Art Unit
3627

Invention:

CORRUGATED PIPE CONNECTION JOINT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☐ Completion of application fees as calculated below:

☐ Utility application filing fee _____

☐ Design application filing fee _____

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees _____

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due _____

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
5603.02

APR 20 2001
In Re Application Of
JERRY A. DUKE et al.
TRADEMARK OFFICE

Serial No.
09/765,924

Filing Date
January 20, 2001

Examiner
Felicia Robinson

Group Art Unit
3627

Invention:
CORRUGATED PIPE CONNECTION JOINT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$130.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-1415
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.


Signature

Robert J. Scheffel, Reg. No. 43,090
USPTO Customer No. 20686

Dated: April 20, 2001

I certify that this document and fee is being deposited
on _____ with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Docket No.

5603.02

Applicant(s): JERRY A. DUKES, et al.

Serial No.

65,924

Filing Date

January 20, 2001

Examiner

Felicia Robinson

Group Art Unit

3627

CORRUGATED PIPE CONNECTION JOINT

I hereby certify that the following correspondence:

Response to Notice to File Missing Parts of Application Filing Date Granted (PTO-1553)(Large Entity), copy of Notice to File Missing Parts of Nonprovisional Application, signed Declarations and Power of Attorneys, check in the amount of \$130.00 and return acknowledgment card.

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

April 20, 2001

(Date)

Jane Lalis, Dorsey & Whitney LLP

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EL 809 715 810 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

PATENT APPLICATION TRANSMITTAL LETTER

(Large Entity)

Docket No.

5603.02

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Jerry A. DORES, Thomas E. OGDEN, and Rodney STUDE

For: CORRUGATED PIPE CONNECTION JOINT

Enclosed are:


- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL759301061US
- ☒ 8 sheets of drawings.
- ☐ A certified copy of a application.
- ☒ Declaration ☐ Signed. ☒ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☐ Other:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	19	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$80.00	\$0.00
Multipl Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
TOTAL FILING FEE					\$710.00

- ☐ A check in the amount of to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1415 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: January 19, 2001
20


Signature
Lee R. Osman, Reg. No. 38,260
USPTO Customer No. 20686

cc: Uponor

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **DUKES et al.**

Docket No.

5603.02

Serial No.

Filing Date

Examiner

Group Art Unit

APR 20 2001

2015 January 2001

Invention:

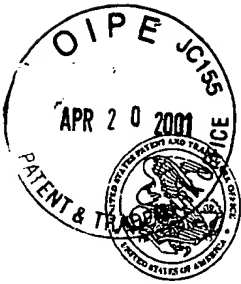
CORRUGATED PIPE CONNECTION JOINT

I hereby certify that the following correspondence:

Application Data Sheet; Utility Patent Application Transmittal Letter; Declaration & Power of Attorney (unsigned); Specification, Claims, and Abstract; 8 sheets of drawings; and return postcard.*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 20, 2001*(Date)***Kristin Sheek***(Typed or Printed Name of Person Mailing Correspondence)***Kristin M. Sheek***(Signature of Person Mailing Correspondence)***EL759301061US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



04-23-01

H-A

Sector 13

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/765,924	01/20/2001	Jerry A. Dukes	5603.02

20686
DORSEY & WHITNEY, LLP
SUITE 4700
370 SEVENTEENTH STREET
DENVER, CO 80202-5647

CONFIRMATION NO. 8271

FORMALITIES LETTER



OC00000005808770

Date Mailed: 02/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

04/25/2001 EEKUBAY1 00000141 09765924

01 FC:105

130.00 DP



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Best Available Copy

CONFIRMATION NO. 8271



Bib Data Sheet

SERIAL NUMBER 09/765,924	FILING DATE 01/20/2001 RULE	CLASS 285	GROUP ART UNIT 3627	ATTORNEY DOCKET NO. 5603.02
-----------------------------	-----------------------------------	--------------	------------------------	-----------------------------------

APPLICANTS

Jerry A. Dukes, Aurora, CO;
Thomas E. Ogden, Columbia, MO;
Rodney Stude, Englewood, CO;

RECEIVED

** CONTINUING DATA *****

THIS APPLN CLAIMS BENEFIT OF 60/177,162 01/20/2000
Ow

AUG 01 2001

** FOREIGN APPLICATIONS *****

None
ca

3600 MAIL ROOM

IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 02/27/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY CO	SHEETS DRAWING 8	TOTAL CLAIMS 19	INDEPENDENT CLAIMS 3
Verified and Acknowledged Examiner's Signature <i>Am</i> Initials <i>W</i>					

ADDRESS

20686

TITLE

Corrugated pipe connection joint

FILING FEE RECEIVED 840	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit